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1-15428

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Commissioner for Patents, Washington, D.C. 20231,

on June 20, 2002.

(signature) Michelle Fais

IN THE UNITED STATES PATENT AND TRADEMARK OFF

In re Application of: Goodman, et al.

Serial No. 09/876,311

Filed: June 7, 2001

For: Method of Incentivising Members of

a Disease Management Programme

to Comply with the Programme

Group Art Unit: 2161

Examiner:

1 Attorney Docket 1-15428

June 20, 2002

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Commissioner of Patents Washington, D.C. 20231

GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Honorable Sir:

Pursuant to Section 1.97 of Title 37 of the Code of Federal Regulations, and prior to the issuance of a first office action, record is hereby made of published prior art the United States Patent and Trademark Office may wish to consider during examination of the above-entitled application. No representation is made or intended that a prior art search was conducted, or that no better art than that listed is available.

1

U.S. Patent	Date of Issuance	Inventor
5,937,387	August 10, 1999	Summerell, et al.
5,542,420	August 6, 1996	Goldman, et al.
5,692,501	December 2, 1997	Minturn

Other Prior Art	Date of Publication
"Choice and cost-savings"	July 1996

"Stay healthy for real fun – and profit" January 1996
"New plan cuts health care costs in half" October 1993

Copies of the above-cited U.S. patent documents and other prior art are enclosed, along with IDS Form PTO/SB/08A.

It is requested that these references be fully considered and made of record in this case.

Phillip S. Oberlin

Registration No. 19,066

ATTORNEYS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 2

Substitute for form 1449A/PTO

Complete if Known					
Application Number	09/876,311	·			
Filing Date	June 7, 2001				
First Named Inventor	Goodman, et al.				
Art Unit	2161				
Examiner Name					
Attorney Docket Number	1-15428				

	U.S. PATENT DOCUMENTS						
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		us-5,937,387	08-10-1999	Summerell et al.			
		us-5,542,420	08-06-1996	Goldman et al.			
		US-5,692,501	12-02-1997	Minturn			
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)
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INFOR	KIVIA I I QN L	UIS	SCLOSURE	Filing Date	June 7, 2001		
STATEMENT BY APPLICANT (use as many sheets as necessary)				First Named Inventor	Goodman, et al		
				Group Art Unit	2161		
				Examiner Name			
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Sheet	2 of 2 Attorney Docket Number 1-15428	,
	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS]
Examiner Cite Initials No.]
	Rintelman, Mary Jane, "Choice and cost-savings", Credit Union Management, Vol. 19, No. 7, pp. 48, 50. July 1996	ŀ
	Woodard, Kathy, "Stay healthy for real fun - and profit", Business First-Columbus, Vol. 12, No. 19, S.1, p.13. Jan. 1996	
	Spencer, Peter L., "New plan cuts health care costs in half (advantage of health care plan with high deductible)", Consumers' Research Magazine, Vol. 76, No. 10, pp. 16. Oct. 1993	
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